



PATENT

Case Docket No. ASMINT.048AUS

Date: October 24, 2003

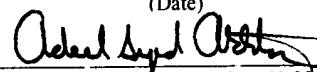
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Zhenduo et al.  
Appl. No. : 10/619,383  
Filed : July 14, 2003  
For : HYBRID CASCADE MODEL-  
BASED PREDICTIVE  
CONTROL SYSTEM  
Examiner : Unknown  
Group Art Unit : 2121

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

October 24, 2003

(Date)

  
Adeel S. Akhtar, Reg. No. 41,394

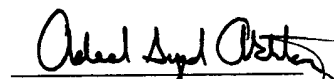
TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 listing twelve (12) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

  
Adeel S. Akhtar  
Registration No. 41,394  
Attorney of Record  
Customer No. 20,995  
(415) 954-4114

## INFORMATION DISCLOSURE STATEMENT

Applicant : Zhenduo et al.  
App. No. : 10/619,383  
Filed : July 14, 2003  
For : HYBRID CASCADE MODEL-BASED  
PREDICTIVE CONTROL SYSTEM  
Examiner : Unknown  
Group Art Unit : 2121

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 12 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of all other references, if listed, are enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,  
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: Oct. 24, 2003

By: Adeel S. Akhtar  
Adeel S. Akhtar  
Registration No. 41,394  
Attorney of Record  
Customer No. 20,995  
(415) 954-4114

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
ASMINT.048AUSAPPLICATION NO.  
10/619,383INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Zhenduo et al.FILING DATE  
July 14, 2003GROUP  
2121

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,346,433	8/24/82	Rutledge			
	2	4,385,362	5/24/83	Arcara			
	3	4,630,189	12/16/86	Ohmori et al.			
	4	4,639,853	1/27/87	Rake et al.			
	5	5,635,409	6/3/97	Moslehi			
	6	6,128,586	10/3/00	Pfeiffer			
	7	6,207,936 B1	3/27/01	De Waard et al.			
	8	6,222,164 B1	4/24/01	Stoddard et al.			
	9	6,373,033 B1	4/16/02	De Waard et al.			
	10	6,411,944 B1	6/25/02	Ulyanov			
	11	6,496,749 B1	12/17/02	Yamaguchi et al.			
	12	6,501,998 B1	12/31/02	Pfeiffer			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	13							
	14							
	15							
	16							
	17							

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

EXAMINER INITIAL	
	18
	19
	20

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102303

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	